SEALS, STAMPS, AND SIGNATURES IN INTERNATIONAL ARBITRATION AGREEMENTS

Stephan Wilske^{*} & Christina Scheidle^{**}

"A man hears what he wants to hear and disregards the rest"

(Simon & Garfunkel, The Boxer)

^{*} Partner, Gleiss Lutz, Stuttgart (Germany); MCIArb, admitted to the New York and German bar as well as to the U.S. Supreme Court, the U.S. Court of Appeals for the Federal Circuit and the U.S. Court of Appeals for the Second Circuit; Maîtrise en droit, Université d'Aix-Marseille III, France; LL.M. (The University of Chicago; Casper Platt Award); Dr. iur (Tübingen); lecturer at the Universities of Speyer and Heidelberg as well as at the Düsseldorf International Arbitration School; Visiting Professor at the National Taiwan University, College of Law (Spring 2010); Advisory Committee Member of the Swiss Arbitration Academy. Senior Committee Member of the Contemporary Asia Arbitration Journal; International Correspondent (Germany) of Revista Română de Arbitraj (Romanian Arbitration Review). The author can he reached at Stephan.Wilske@gleisslutz.com.

^{**} First State Exam in Law, Eberhard-Karls-Universität, Tübingen (Germany), currently intern with Gleiss Lutz, Stuttgart (Germany); prospective LL.M. student at the University of Michigan, Ann Arbor, Class of 2012. The authors would like to express their gratitude to Christian Leisinger (M.Jur. Oxford) for his research and many valuable comments at an early stage of this article. Special thanks go to Todd J. Fox (LL.M. Freiburg) for very valuable comments at short notice. The author can be reached at scheidle@umich.edu.

ABSTRACT

This paper deals with a question which came up in a recent Korean Commercial Arbitration Board arbitration, namely whether and to what extent a Korean company seal and a German company stamp may fulfill the signature requirements under the parties' contractual agreement and the applicable arbitration law. In this context the paper discusses the purpose of signatures from a Western and an Asian perspective and the writing and signature requirement as a prerequisite for formal validity of an arbitration agreement under international and national arbitration law. What the authors consider most remarkable about this case is the phenomenon of cultural preconceptions as a source of possible errors and misunderstandings. The article concludes that even in an era of increasing harmonization of international arbitration law and practice, arbitration users and practitioners should be vigilant and always prepared to question whether an issue that might be simple and obvious in their own mind might be viewed completely differently by someone with different cultural experiences.

KEYWORDS: Article II(2) New York Convention, consensualism, cultural preconception, formalism, formal validity of an arbitration agreement, New York Convention, seal, signature, signature requirement, stamp, UNCITRAL Model Law on International Commercial Arbitration, writing requirement